

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(B)

03942 U.S. PTO  
10/642898  
08/18/03

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Attorney Docket No.: 29757/P-800

Sir:

Enclosed herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application of:

Inventors: Binh T. Nguyen and Craig A. Paulsen

Title: TOURNAMENT GAMING METHOD AND SYSTEM

1. **Type of Application**

☒ This is an application for a:

- ☒ utility patent  
☐ design patent

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CERTIFICATION UNDER 37 C.F.R. §1.10

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed herewith are being deposited with the United States Postal Service on **August 18, 2003**, in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 323774850US.

  
Richard Zimmerman

**2. Application Papers Enclosed**

- 1 Title page  
71 Pages of specification (excluding claims, abstract, drawings & sequence listing)  
16 Pages of claims  
1 Abstract page  
35 Sheets of drawings (Figs. 1 to 33E)  
☒ Formal  
☐ Informal

**3. Declaration or Oath**

- ☒ Enclosed  
☒ Executed by inventors  
☐ Executed by legal representative of inventor(s) (37 C.F.R. §1.42 or §1.43)  
  
☐ Not enclosed

**4. Incorporation By Reference**

If the present application is a continuation, continuation-in-part or divisional application as indicated in Paragraph 1 above, the prior application identified in Paragraph 1 above is hereby incorporated by reference in its entirety in the present application.

**5. Priority Under 35 U.S.C. §119**

The present application claims priority under 35 U.S.C. §119 from each application listed below, and a certified copy of each application: ☐ is enclosed, ☐ will be filed later, ☐ was filed in a prior application from which the present application claims priority under 35 U.S.C. §120.

COUNTRY	APPLICATION NO.	FILED

6. **Additional Papers Enclosed**

- ☐ Computer-readable copy of sequence listing containing nucleotide and/or amino acid sequence
- ☐ Declaration of biological deposit
- ☐ Microfiche computer program
- ☐ Request not to publish and certification under 35 U.S.C. §122(b)(2)(B)(i)
- ☐ Information disclosure statement
- ☐ Assignment
- ☒ Return receipt postcard
- ☒ Other: Application Data Sheet

7. **Small Entity Status**

- ☐ Small entity status is claimed.

8. **Fee Calculation**

A. ☒ **Utility Application**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$375		\$750
TOTAL	51 -20	= 31	x 9 =		x 18 =	\$558
INDEP.	7 - 3	= 4	x 42 =		x 84 =	\$336
First Presentation of Multiple Dependent Claim			+ 140 =		+ 280 =	
Filing Fee:					<b>OR</b>	\$1,644

B. ☐ **Design Application Filing Fee** \$ \_\_\_\_\_

C. ☐ **Other Fees**  
Assignment recordation fee \$ \_\_\_\_\_  
Other: \_\_\_\_\_ \$ \_\_\_\_\_

Total Fees: \$1,644.00

**9. Method of Payment of Fees**

- ☒ Enclosed is a check in the amount of: \$ 1,644.00
- ☐ Charge Deposit Account No. 13-2855 in the amount of: \$ \_\_\_\_\_  
A copy of this transmittal is enclosed.

**10. Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 C.F.R. §1.16 or 37 C.F.R. §1.17 to Deposit Account No. 13-2855. A copy of this transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

**11. Correspondence Address**

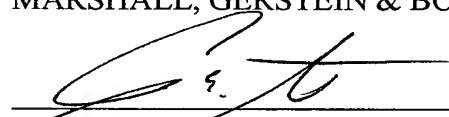
Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN

Date: August 18, 2003

By:

  
\_\_\_\_\_  
Gregory E. Stanton  
Registration No. 45,127  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6357  
(312) 474-6300

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2003</h3> <p style="font-size: small; margin: 0;">Effective 01/01/2003, Patent fees are subject to annual revision.</p>		<b>Complete if Known</b>		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number		Not Yet Assigned
		Filing Date		Herewith
		First Named Inventor		Binh T. Nguyen
		Examiner Name		Not Yet Assigned
		Art Unit		N/A
TOTAL AMOUNT OF PAYMENT		(\$)		1,644.00
		Attorney Docket No.		29757/P-800

**METHOD OF PAYMENT** (check all that apply)

☒ Check   
 ☐ Credit Card   
 ☐ Money Order   
 ☐ Other   
 ☐ None

☐ Deposit Account

Deposit Account Number: 13-2855

Deposit Account Name: MARSHALL, GERSTEIN & BORUN LLP

The Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below   
 ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION**

1. BASIC FILING FEE					
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	750	375	Utility filing fee	750.00
1002	2002	330	165	Design filing fee	
1003	2003	520	260	Plant filing fee	
1004	2004	750	375	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					(\$) 750.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	51	-20** =	31	x	18.00	=	558.00
Independent Claims	7	-3** =	4	x	84.00	=	336.00
Multiple Dependent							

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	2202	18	9	Claims in excess of 20	
1201	2201	84	42	Independent claims in excess of 3	
1203	2203	280	140	Multiple dependent claim, if not paid	
1204	2204	84	42	** Reissue independent claims over original patent	
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					(\$) 894.00

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**

3. ADDITIONAL FEES					
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing fee or oath	
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet.	
1053	1053	130	130	Non-English specification	
1812	1812	2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	1804	920*	920*	Requesting publication of SIR prior to Examiner action	
1805	1805	1,840*	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	Extension for reply within first month	
1252	2252	410	205	Extension for reply within second month	
1253	2253	930	465	Extension for reply within third month	
1254	2254	1,450	725	Extension for reply within fourth month	
1255	2255	1,970	985	Extension for reply within fifth month	
1401	2401	320	160	Notice of Appeal	
1402	2402	320	160	Filing a brief in support of an appeal	
1403	2403	280	140	Request for oral hearing	
1451	1451	1,510	1,510	Petition to institute a public use proceeding	
1452	2452	110	55	Petition to revive - unavoidable	
1453	2453	1,300	650	Petition to revive - unintentional	
1501	2501	1,300	650	Utility issue fee (or reissue)	
1502	2502	470	235	Design issue fee	
1503	2503	630	315	Plant issue fee	
1460	1460	130	130	Petitions to the Commissioner	
1807	1807	50	50	Processing fee under 37 CFR 1.17(q)	
1806	1806	180	180	Submission of Information Disclosure Stmt	
8021	8021	40	40	Recording each patent assignment per property (times number of properties)	
1809	2809	750	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	2810	750	375	For each additional invention to be examined (37CFR 1.129(b))	
1801	2801	750	375	Request for Continued Examination (RCE)	
1802	1802	900	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$) 0.00

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Gregory E. Stanton	Registration No. (Attorney/Agent)	45,127
Signature		Telephone	(312) 474-6300
		Date	August 18, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 323774850US, in an envelope addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: August 18, 2003      Signature: (Richard Zimmermann)